

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4  
KWS  
6-402

Applicant(s): Jones et al.

Serial No.: 09/931,794

Filed: 8/16/2001

Title: System And Method For  
Automatically Protecting Private  
Video Content Using Cryptographic  
Security for Legacy Systems

Group Art Unit:

2661

Examiner:

Unassigned

Attorney Docket No.: 002.0221.01

Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.


It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,



22895

PATENT TRADEMARK OFFICE

  
\_\_\_\_\_  
Patrick J.S. Inouye, Esq.  
Attorney/Agent for Applicant(s)  
Reg. No. 40297

Date: **OCT 22 2001**

Telephone No.: (206) 381-3900

+

Approved for use through 10/31/2002. OMB 0651-0031

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1

of

1

**Complete if Known**

Application Number

09/931,794

Filing Date

8/16/2001

**First Named Inventor**

Jones et al.

## Group Art Unit

2661

Examiner Name

Unassigned

Attorney Docket Number

002.0221.01

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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| <h1 style="text-align: center;">TRANSMITTAL<br/>FORM</h1> <p style="text-align: center;">(to be used for all correspondence after initial filing)</p> | Application Number   | 09/931,794             |             |
|   | Filing Date          | Aug 16, 2001           |             |
|   | First Named Inventor | Jones, William L.      |             |
|   | Group Art Unit       | 2661                   |             |
|   | Examiner Name        | Unassigned             |             |
| Total Number of Pages in This Submission  |                      | Attorney Docket Number | 002.0221.01 |

| ENCLOSURES (check all that apply)  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement Form 1449 and Transmittal<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>1 Published reference Postcard |
| Remarks  |   |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                    |
|--|------------------------------------|
| Firm or Individual name                    | Law Offices of Patrick J.S. Inouye |
| Signature                                  |                                    |
| Date                                       | OCT 22 2001                        |

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| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 10/22/01 |                |      |          |
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U.S. Patent Application entitled: "System And Method For Automatically Protecting Private Video  
Content Using Cryptographic Security For Legacy Systems"  
Applicant(s): Jones al.  
Serial No.: 09/931,794  
Filed: August 16, 2001

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Attorney Docket No.: 002.0221.01  
Date Mailed: October 22, 2001

Attorney: Patrick J.S. Inouye  
Reg. No. 40,297